

# FINANCE (FIN)

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## **FIN 204 (s) Special Topics (1-16 credits)**

Credit arranged

## **FIN 260 Student Investment Fund Mgmt (1 credit, max arranged)**

Open only to freshman and sophomore students participating in the A. D. and J. E. Davis Student Investment Program. "Hands on" experience in investment management; students manage a funded portfolio in terms of establishing objectives, security selection, asset allocation, and portfolio performance. May meet concurrently with FIN 460. Graded P/F. May involve field trips.

**Prereqs:** Permission

## **FIN 298 (s) Internship (1-3 credits, max 6)**

## **FIN 299 (s) Directed Study (1-16 credits)**

Credit arranged

## **FIN 301 Financial Resources Management (3 credits)**

This course examines the policies and practices involved in the allocation of financial resources in business organizations; develops the tools needed to use financial data for analysis and financial decision making. May involve evening exams.

**Prereqs:** ACCT 201; ECON 202 or ECON 272

## **FIN 302 Intermediate Financial Management (3 credits)**

An intermediate-level course in managerial finance that provides more in-depth coverage of financial analysis, valuation principles, capital budgeting, capital market history, capital structure, and long-term financial planning. Typically Offered: Fall and Spring.

**Prereqs:** FIN 301; ACCT 202; ECON 201 or ECON 272; STAT 251 or STAT 301

## **FIN 322 Insurance (2 credits)**

This course covers insurance from a personal and a business perspective. Students will learn about property, liability, casualty, home, health, and long-term care insurance. The course will develop an understanding of the products and their purposes, as well as a general understanding of the distribution system for insurance products, focusing on retail insurance providers. Students will learn to match insurance products with specific insurable risks and how to market those products. The course will also provide an overview of the claims process. Typically Offered: Fall, Spring.

## **FIN 323 Commercial Finance (1 credit)**

This course examines the different methods of raising capital for firms of all sizes, with an emphasis on non-publicly traded firms. Topics include methods of accessing and servicing both debt and equity capital at different stages of the firm from start-up to maturity. Typically Offered: Fall, Spring.

## **FIN 324 Real Estate (2 credits)**

This course examines different aspects of pricing, marketing, and selling/buying residential and commercial real estate. Topics also include real estate brokerage/licensing requirements. The curriculum in this course is intended to provide practical knowledge relating to residential and commercial real estate from both a consumer and realtor standpoint. Typically Offered: Fall, Spring.

## **FIN 325 Financial Planning Services (1 credit)**

This course provides an overview of the financial services industry as it relates to providing financial planning services to clients and customers. These services include helping clients plan for financial security, education, retirement, estate planning, and other life events. The curriculum in this course is intended to provide practical knowledge relating to financial service providers and would be relevant to those pursuing careers in the financial planning industry. Typically Offered: Fall, Spring.

## **FIN 381 International Finance (3 credits)**

Study of financial problems facing business engaged in international activities; foreign exchange risk management, international diversification, multinational capital budgeting, country risk analysis, financing foreign investments, international financial markets.

**Prereqs:** ECON 201 or ECON 272; and Instructor Permission

## **FIN 398 (s) Internship (1-3 credits, max 6)**

## **FIN 404 (s) Special Topics (1-16 credits)**

Credit arranged

## **FIN 407 Financial Institutions (3 credits)**

Examines management and regulation of financial institutions, including structure of global financial markets and the measurement and management of risk for these institutions.

**Prereqs:** FIN 302

## **FIN 408 Security Analysis (3 credits)**

Emphasis on theory and practice of security analysis and other techniques of financial analyses; may involve management of actual portfolios. (Fall only)

**Prereqs:** FIN 302

## **FIN 409 Problems in Financial Management (3 credits)**

Analysis of selected topics in financial management; asset allocation; capital budgeting and valuation; synthesis of financial management skills through case analysis; written and oral reports and computer simulations. May involve evening exams. (Spring only)

**Prereqs:** FIN 302 and BUS 354

## **FIN 435 Sustainable Finance and Investments (3 credits)**

Sustainable finance and investments consider not only financial returns but also long-term societal impact. This course broadens the scope of corporate finance and investment analysis by incorporating environmental, social, and governance considerations as decision input and objectives. Students learn how to apply financial and investment concepts and techniques to analyze corporate sustainability activities and sustainable investments. Typically Offered: Spring.

**Prereqs:** FIN 301

## **FIN 460 Advanced Student Investment Fund Management (1 credit, max arranged)**

Open only to students participating in the A. D. and J. E. Davis Student Investment Program. "Hands on" experience in investment management; students manage a funded portfolio in terms of establishing objectives, security selection, asset allocation, and portfolio performance. May meet concurrently with FIN 260. Graded P/F. May involve field trips.

**Prereqs:** Permission

## **FIN 463 Portfolio Management (3 credits)**

Application of security selection, portfolio theory and construction; financial futures; risk and return in investments; may involve management of actual portfolios.

**Coreqs:** FIN 302 and BUS 354

**FIN 464 Derivatives and Risk Management (3 credits)**

This course will cover methods used to establish the fair price of derivative securities and the creation of synthetic securities, demonstrate the practical uses of derivatives in speculation, hedging and arbitrage, and examine the process of measurement and management of financial risk. (Spring only)

**Prereqs:** FIN 302 and BUS 354

**FIN 465 Introduction to Market Trading (3 credits)**

Provides students practical experiences in the analysis of financial conditions and markets with the objective of developing trading and risk management strategies. Professional trading analysis software is used. The major topics covered include financial instruments, fundamental and technical analysis of markets, inter-market analysis, and risk management. Typically Offered: Varies.

**Coreqs:** FIN 302 and BUS 354; or Permission

**FIN 466 Market Trading Strategies (3 credits)**

Provides students practical experiences in the analysis of financial conditions and markets with the objective of developing trading and risk management strategies. Professional trading analysis software is used. Students in this class will develop strategies that can be submitted for funding by the Barker Trading Program. Typically Offered: Varies.

**Prereqs:** FIN 465 and Permission

**FIN 467 Barker Capital Management Group (1 credit, max 6)**

Students in this course will function as a member of the Barker Capital Management Group (BCMG) and will work individually and in teams to manage a portion of the Barker Endowment Fund. The class will identify and research investment opportunities for the fund, develop and implement risk management strategies for the portfolio, monitor the results and make adjustments to the portfolio as required. Graded P/F. May involve field trips.

**Prereqs:** Permission

**FIN 468 Market Trading Lab (1 credit, max 6)**

This course is a lab to complement FIN 465 Introduction to Market Trading and FIN 466 Market Trading Strategies. Students who take this course will either trade a portfolio funded by the Barker Capital Markets and Trading Program endowment, or be actively working towards qualifying for a funded portfolio. Students will receive mentoring from the instructor and other faculty participating in the Barker Program. The students will also interact with other funded traders and, on occasion, provide mentoring to students in earlier stages of the program. Graded P/F. May involve field trips.

**Prereqs:** FIN 465, FIN 466, and Permission

**FIN 469 Risk and Insurance (3 credits)**

Examines risk and insurance, covering risk identification and measurement, risk reduction and hedging, and insurance pricing. (Fall only)

**Prereqs:** FIN 302 and BUS 354

**FIN 471 Applied Risk Management Symposium (1 credit, max 8)**

This course presents a series of speakers from industry to discuss topics relevant to financial markets and industry career opportunities. Topics include current market conditions, available market instruments and their use, predictive outlooks, and organizational needs and strategies. The topics are discussed with respect to various time horizons and risk appetites. Direct interaction between the students and speakers is encouraged. Graded Pass/Fail. Typically Offered: Fall and Spring.

**Prereqs:** Sophomore standing or higher

**FIN 483 Topics in Financial Analysis (3 credits)**

This course examines various topics relevant to the CFA Institute's Common Body of Knowledge. Topics will vary based on current issues in the financial analysis industry.

**Prereqs:** FIN 302 and BUS 354

**FIN 499 (s) Directed Study (1-16 credits)**

Credit arranged