

FINANCE (FIN)

FIN 2040 (s) Special Topics (1-16 credits, max 99)

Credit arranged

FIN 2600 Student Investment Fund Mgmt (1 credit, max 99)

Open only to freshman and sophomore students participating in the A. D. and J. E. Davis Student Investment program. "Hands on" experience in investment management; students manage a funded portfolio in terms of establishing objectives, security selection, asset allocation, and portfolio performance. May meet concurrently with FIN 4600. May involve field trips. Graded Pass/Fail. Typically Offered: Varies.

Prereqs: Permission

FIN 2980 (s) Internship (1-3 credits, max 6)

Graded Pass/Fail.

FIN 2990 (s) Directed Study (1-16 credits, max 99)

Credit arranged

FIN 3010 Financial Resources Management (3 credits)

This course examines the policies and practices involved in the allocation of financial resources in business organizations; develops the tools needed to use financial data for analysis and financial decision making. May involve evening exams.

Prereqs: ACCT 2010; ECON 2202 or ECON 2720

FIN 3020 Intermediate Financial Management (3 credits)

An intermediate-level course in managerial finance that provides more in-depth coverage of financial analysis, valuation principles, capital budgeting, capital market history, capital structure, and long-term financial planning. Typically Offered: Fall and Spring.

Prereqs: FIN 3010; ACCT 2020; ECON 2201 or ECON 2720; STAT 2510 or STAT 3010

FIN 3220 Insurance (2 credits)

This course covers insurance from a personal and a business perspective. Students will learn about property, liability, casualty, home, health, and long-term care insurance. The course will develop an understanding of the products and their purposes, as well as a general understanding of the distribution system for insurance products, focusing on retail insurance providers. Students will learn to match insurance products with specific insurable risks and how to market those products. The course will also provide an overview of the claims process. Typically Offered: Fall and Spring.

FIN 3230 Commercial Finance (1 credit)

This course examines the different methods of raising capital for firms of all sizes, with an emphasis on non-publicly traded firms. Topics include methods of accessing and servicing both debt and equity capital at different stages of the firm from start-up to maturity. Typically Offered: Fall and Spring.

FIN 3240 Real Estate (2 credits)

This course examines different aspects of pricing, marketing, and selling/buying residential and commercial real estate. Topics also include real estate brokerage/licensing requirements. The curriculum in this course is intended to provide practical knowledge relating to residential and commercial real estate from both a consumer and realtor standpoint. Typically Offered: Fall and Spring.

FIN 3250 Financial Planning Services (1 credit)

This course provides an overview of the financial services industry as it relates to providing financial planning services to clients and customers. These services include helping clients plan for financial security, education, retirement, estate planning, and other life events. The curriculum in this course is intended to provide practical knowledge relating to financial service providers and would be relevant to those pursuing careers in the financial planning industry. Typically Offered: Fall and Spring.

FIN 3810 International Finance (3 credits)

Study of financial problems facing business engaged in international activities; foreign exchange risk management, international diversification, multinational capital budgeting, country risk analysis, financing foreign investments, international financial markets.

Prereqs: ECON 2201 or ECON 2720; and Instructor Permission

FIN 3980 (s) Internship (1-3 credits, max 6)

Graded Pass/Fail.

FIN 4040 (s) Special Topics (1-16 credits, max 99)

Credit arranged

FIN 4070 Financial Institutions (3 credits)

Examines management and regulation of financial institutions, including structure of global financial markets and the measurement and management of risk for these institutions.

Prereqs: FIN 3020

FIN 4080 Security Analysis (3 credits)

Emphasis on theory and practice of security analysis and other techniques of financial analyses; may involve management of actual portfolios. Typically Offered: Fall.

Prereqs: FIN 3020

FIN 4090 Problems in Financial Management (3 credits)

Analysis of selected topics in financial management; asset allocation; capital budgeting and valuation; synthesis of financial management skills through case analysis; written and oral reports and computer simulations. May involve evening exams. Typically Offered: Spring.

Prereqs: FIN 3020 and BUS 3540

FIN 4350 Financial Management and Sustainability (3 credits)

This course explores the theory and practice of corporate finance with a focus on sustainability and value creation in public companies. Key topics include company analysis, long-term financial decisions such as major investments, acquisitions, and capital structure decisions, as well as the application of financial analysis to evaluate corporate sustainability activities. Typically Offered: Varies.

Prereqs: FIN 3010

FIN 4600 Advanced Student Investment Fund Management (1 credit, max 99)

Open only to students participating in the A. D. and J. E. Davis Student Investment program. "Hands on" experience in investment management; students manage a funded portfolio in terms of establishing objectives, security selection, asset allocation, and portfolio performance. May meet concurrently with FIN 2600. May involve field trips. Graded Pass/Fail. Typically Offered: Varies.

Prereqs: Permission

FIN 4630 Portfolio Management (3 credits)

Application of security selection, portfolio theory and construction; financial futures; risk and return in investments; may involve management of actual portfolios.

Coreqs: FIN 3020 and BUS 3540

FIN 4640 Derivatives and Risk Management (3 credits)

This course will cover methods used to establish the fair price of derivative securities and the creation of synthetic securities; demonstrate the practical uses of derivatives in speculation, hedging and arbitrage; and examine the process of measurement and management of financial risk. Typically Offered: Spring.

Prereqs: FIN 3020 and BUS 3540

FIN 4650 Introduction to Market Trading (3 credits)

Provides students practical experiences in the analysis of financial conditions and markets with the objective of developing trading and risk management strategies. Professional trading analysis software is used. The major topics covered include financial instruments, fundamental and technical analysis of markets, inter-market analysis, and risk management. Typically Offered: Varies.

Coreqs: FIN 3020 and BUS 3540; or Permission

FIN 4660 Market Trading Strategies (3 credits)

Provides students practical experiences in the analysis of financial conditions and markets with the objective of developing trading and risk management strategies. Professional trading analysis software is used. Students in this class will develop strategies that can be submitted for funding by the Barker Trading Program. Typically Offered: Varies.

Prereqs: FIN 4650 and Permission

FIN 4670 Barker Capital Management Group (1 credit, max 6)

Students in this course will function as a member of the Barker Capital Management Group (BCMG) and will work individually and in teams to manage a portion of the Barker Endowment Fund. The class will identify and research investment opportunities for the fund, develop and implement risk management strategies for the portfolio, monitor the results, and make adjustments to the portfolio as required. May involve field trips. Graded Pass/Fail. Typically Offered: Varies.

Prereqs: Permission

FIN 4680 Market Trading Lab (1 credit, max 6)

This course is a lab to complement FIN 4650 and FIN 4660. Students who take this course will either trade a portfolio funded by the Barker Capital Markets and Trading Program endowment, or be actively working towards qualifying for a funded portfolio. Students will receive mentoring from the instructor and other faculty participating in the Barker Program. The students will also interact with other funded traders and, on occasion, provide mentoring to students in earlier stages of the program. May involve field trips. Graded Pass/Fail. Typically Offered: Varies.

Prereqs: FIN 4650, FIN 4660, and Permission

FIN 4690 Risk and Insurance (3 credits)

Examines risk and insurance, covering risk identification and measurement, risk reduction and hedging, and insurance pricing. Typically Offered: Fall.

Prereqs: FIN 3020 and BUS 3540

FIN 4710 Applied Risk Management Symposium (1 credit, max 8)

This course presents a series of speakers from industry to discuss topics relevant to financial markets and industry career opportunities. Topics include current market conditions, available market instruments and their use, predictive outlooks, and organizational needs and strategies. The topics are discussed with respect to various time horizons and risk appetites. Direct interaction between the students and speakers is encouraged. Graded Pass/Fail. Typically Offered: Fall and Spring.

Prereqs: Sophomore standing or higher

FIN 4830 Topics in Financial Analysis (3 credits)

This course examines various topics relevant to the CFA Institute's Common Body of Knowledge. Topics will vary based on current issues in the financial analysis industry.

Prereqs: FIN 3020 and BUS 3540

FIN 4990 (s) Directed Study (1-16 credits, max 99)

Credit arranged